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| <b>UTILITY<br/>PATENT APPLICATION<br/>TRANSMITTAL</b><br><small>(Only for new nonprovisional applications under 37 CFR 1.53(b))</small> | Attorney Docket No.                      | 244692US2                    |
|   | First Inventor or Application Identifier | Akihiro TANAKA, et al.       |
|   | Title                                    | OPTICAL SEMICONDUCTOR DEVICE |

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|--|---|
| <b>APPLICATION ELEMENTS</b><br>See MPEP chapter 600 concerning utility patent application contents | <b>ADDRESS TO:</b> Commissioner for Patents<br>Mail Stop Patent Application<br>Alexandria, Virginia 22313 |
|--|---|

|   |   |
|---|---|
| 1. <input checked="" type="checkbox"/> Fee Transmittal Form (e.g. PTO/SB/17)<br>(Submit an original and a duplicate for fee processing)<br><br>2. <input checked="" type="checkbox"/> Specification Total Sheets <input type="text" value="21"/><br><br>3. <input checked="" type="checkbox"/> Drawing(s) (35 U.S.C. 113) Total Sheets <input type="text" value="12"/><br><br>4. <input type="checkbox"/> Oath or Declaration Total Pages <input type="text"/><br>a. <input type="checkbox"/> Newly executed (original or copy)<br>b. <input type="checkbox"/> Copy from a prior application (37 C.F.R. §1.63(d))<br>(for continuation/divisional with box 17 completed)<br>i. <input type="checkbox"/> DELETION OF INVENTOR(S)<br>Signed statement attached deleting inventor(s) named in<br>the prior application, see 37 C.F.R. §1.63(d)(2) and<br>1.33(b).<br>5. <input type="checkbox"/> CD-ROM or CD-R in duplicate, large table or Computer<br>Program (Appendix)<br>6. <input type="checkbox"/> Nucleotide and/or Amino Acid Sequence Submission<br>(if applicable, all necessary)<br>a. <input type="checkbox"/> Computer Readable Form (CRF)<br>b. Specification or Sequence Listing on :<br>i. <input type="checkbox"/> CD-ROM or CD-R (2 copies); or<br>ii. <input type="checkbox"/> Paper<br>c. <input type="checkbox"/> Statements verifying identity of above copies | <b>ACCOMPANYING APPLICATION PARTS</b><br><br>7. <input type="checkbox"/> Assignment Papers (cover sheet & document(s))<br>8. <input checked="" type="checkbox"/> Application Data Sheet. See 37 CFR 1.76<br>9. <input type="checkbox"/> 37 C.F.R. §3.73(b) Statement <input type="checkbox"/> Power of Attorney<br>(when there is an assignee)<br>10. <input type="checkbox"/> English Translation Document (if applicable)<br>11. <input checked="" type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449 <input checked="" type="checkbox"/> Copies of IDS Citations (1)<br>12. <input type="checkbox"/> Preliminary Amendment<br>13. <input checked="" type="checkbox"/> White Advance Serial No. Postcard<br>14. <input checked="" type="checkbox"/> Certified Copy of Priority Document(s) (1)<br>(if foreign priority is claimed)<br>15. <input type="checkbox"/> Applicant claims small entity status.<br>See 37 CFR 1.27<br>16. <input checked="" type="checkbox"/> Other: Request for Priority, Statement of Relevancy |
|---|---|

17. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below:


☐ Continuation    ☐ Divisional    ☐ Continuation-in-part (CIP)    of prior application no.:

Prior application information:    Examiner:    Group Art Unit:

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 4b, is considered a part of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

**18. CORRESPONDENCE ADDRESS**

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| Name:      | Marvin J. Spivak  | Registration No.: | 24,913   |
| Signature: |  | Date:             | 10/30/03 |
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C. Irvin McClelland  
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22241 U.S. PTO  
 10/695791  
 103003



13281

Ticket No.

244692US2

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

INVENTOR(S) Akihiro TANAKA, et al.

SERIAL NO: New Application

FILING DATE: Herewith

FOR: OPTICAL SEMICONDUCTOR DEVICE

**FEE TRANSMITTAL**COMMISSIONER FOR PATENTS  
ALEXANDRIA, VIRGINIA 22313

| FOR  | NUMBER<br>FILED | NUMBER<br>EXTRA | RATE      | CALCULATIONS |
|--|-----------------|-----------------|-----------|--------------|
| TOTAL CLAIMS   | 21 - 20 =       | 1               | x \$18 =  | \$18.00      |
| INDEPENDENT CLAIMS   | 3 - 3 =         | 0               | x \$86 =  | \$0.00       |
| <input type="checkbox"/> MULTIPLE DEPENDENT CLAIMS (If applicable)   |                 |                 | + \$290 = | \$0.00       |
| <input checked="" type="checkbox"/> LATE FILING OF DECLARATION       |                 |                 | + \$130 = | \$130.00     |
| BASIC FEE  |                 |                 |           | \$770.00     |
| TOTAL OF ABOVE CALCULATIONS  |                 |                 |           | \$918.00     |
| <input type="checkbox"/> REDUCTION BY 50% FOR FILING BY SMALL ENTITY |                 |                 |           | \$0.00       |
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| <input type="checkbox"/> RECORDATION OF ASSIGNMENT                   |                 |                 | + \$40 =  | \$0.00       |
| TOTAL  |                 |                 |           | \$918.00     |

- ☐ Please charge Deposit Account No. 15-0030 in the amount of **\$0.00**. A duplicate copy of this sheet is enclosed.
- ☐ A check in the amount of **\$0.00** to cover the filing fee is enclosed.
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Respectfully Submitted,

OBLON, SPIVAK, McCLELLAND,  
MAIER & NEUSTADT, P.C.

Date:

10/30/03

Marvin J. Spivak

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